IAP10 Rec'd PCT/PTO 16 DEC 2005

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

4838-002

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/561043

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/EP2004/005831

May 28, 2004

June 18, 2003

TITLE OF INVENTION

OXIDOREDUCTASE FROM PICHIA CAPSULATA

APPLICANT(S) FOR DO/EO/US

Antje GUPTA; Anke ZIMMER; Maria BOBKOVA	
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<u> </u>	-,-	SOFTA, Alike Ziminizk, maria bobitova										
Appl	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:											
1.	\boxtimes	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.										
2.		This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.										
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) as indicated below.										
4.		The US has been elected (Article 31).										
5.	\boxtimes	A copy of the International Application as filed (35 U.S.C. 371(c)(2))										
		a. is transmitted hereto (required only if not communicated by the International Bureau).										
i		b. 🛛 has been communicated by the International Bureau.										
i		c. is not required, as the application was filed in the United States Receiving Office (RO/US)										
6.	\boxtimes	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).										
		a. 🛛 is attached hereto.										
		b. has been previously submitted under 35 U.S.C. 154(d)(4).										
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))										
		a. are attached hereto (required only if not communicated by the International Bureau).										
İ		b. have been communicated by the International Bureau.										
i		c. have not been made; however, the time limit for making such amendment has NOT expired.										
İ		d. have not been made and will not be made.										
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).										
9.		An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).										
10.		An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).										
Item	s 11 1	to 20 below concern document(s) or information included:										
11.	\boxtimes	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.										
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.										
13.	\boxtimes	A preliminary amendment.										
14.	\boxtimes	An Application Data Sheet under CFR 1.76										
15.		A substitute specification.										
16.		A power of attorney and/or change of address letter.										
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821–1.825										
18.		A second copy of the published international application under 35 U.S.C. 154(d)(4)										
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)										
20.	☒	Other items or information: PCT/RO/101; PCT/RO/105; PCT/IB/301; PCT/IB/306; PCT/IB/308; PCT/IB/332; PCT/ISA/220; PCT/ISA/210; PCT/ISA/237; PCT/IPEA/401; PCT/IPEA/416; WO 2004/111083 A2; WO 2004/111083 A3										

IAP6 Rec'd PCT/PTO 16 DEC 2005

The following fees are submitted: CALCULATIONS	U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. AT			AT	TORNEY'S DOCKET NUMBER			
21. ☑ Basic national fee	10/561043		PCT/EP2004/005831 4			48	838-002			
22 DE Examination fee If De Carticle 3(1)(1-6) If De Carticle 3(1)(1-6) If De Carticle 3(1)(1-6) All other situations 3. 200.00 All other situations 3. 200.00 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an international search Authority 3. 500.00 All other situations TOTAL OF 21, 22 and 23 = 500.00 Surcharge of \$130.00 for familying the calt or decideration later than 30 months from the earliest claimed priority date (37 CFR 1.492(a)). CLAIMS NUMBER FILED NUMBER EXTRA RATE Total Claims 40 - 20 = 20 x \$50.00 \$ 1,000.00 Independent Claims 40 - 20 = 20 x \$50.00 \$ 1,000.00 Independent Claims 9 3 = 5 x \$200.00 \$ 1,000.00 Independent Claims 9 3 = 6 x \$200.00 \$ 1,000.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½ \$ 0.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½ \$ 0.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½ \$ 0.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½ \$ 0.00 TOTAL OF ABOVE CALCULATIONS = \$ 3,200.00 Processing fee of \$130.00 for furnishing the English translation later than the 30 months from the earliest claimed priority date (37 CFR 1.492(b)). The assignment must be accompanied by \$ 0.00 TOTAL FEES ENCLOSED = \$ 3,200.00 Fee for recording the enclosed sessymment (37 CFR 1.32(b)). The assignment must be accompanied by \$ 0.00 TOTAL FEES ENCLOSED = \$ 3,200.00 Fee feer recording the enclosed sessymment (37 CFR 1.32(b)). The assignment must be accompanied by \$ 0.00 TOTAL FEES ENCLOSED = \$ 3,200.00 Fees are to be charged to a credit card. WARNINGs: information on this form may be required, or credit card information should not be included on this tom. By 0.00 to this sheet is enclosed. C The Commissioner is hereby authorized to	The following fees	are submitted:						CALCULATIONS	PTO USE ONLY	
If international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) All other situations 2. 20 Search (CFR: 1.445(a)(2)) has been paid on the international application to the USPTO as an international search Authority 1. 100.00 1	21. ⊠ Basic national fee\$ 300.00							\$ 300.00		
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a. A check in the amount of \$										
b. Please charge my Deposit Account No. 07-1337 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1337. A duplicate copy of this sheet is enclosed. c. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filled and granted to restore the application to pending status. Kindly use the attorneys' address associated with the following Customer Number for future correspondence. SEND ALL CORRESPONDENCE TO: LOWE HAUPTMAN & BERNER, LLP 1700 Diagonal Road, Suite 300 Alexandria, VA 22314 (703) 684-1111										
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Account No. <u>07-1337</u> . A duplicate copy of this sheet is enclosed. c. Ees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filled and granted to restore the application to pending status. Kindly use the attorneys' address associated with the following Customer Number for future correspondence. SEND ALL CORRESPONDENCE TO: LOWE HAUPTMAN & BERNER, LLP 1700 Diagonal Road, Suite 300 Alexandria, VA 22314 (703) 684-1111 Customer No. 22429 SIGNATURE			count No. <u>07-13</u>	337 in the	amount of \$ to cover	r the above	e fees	s. A duplicate copy of	f this sheet is	
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LOWE HAUPTMAN & BERNER, LLP 1700 Diagonal Road, Suite 300 Alexandria, VA 22314 (703) 684-1111 Customer No. 22429 SIGNATURE	NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. Kindly use the attorneys' address associated with the following Customer Number for future correspondence.									
1700 Diagonal Road, Suite 300 Alexandria, VA 22314 (703) 684-1111 Customer No. 22429 SIGNATURE	SEND ALL CO	RRESPONDEN	CE TO:						/	
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